

## Who We (Bank Islam) are as BIMB Securities Business Partner.

### What is Marketing Representative?

Marketing Representative refers to any individual who acts as introducer for principal, undertakes marketing of the services, provide client support services and is registered with principal. They are allowed to refer clients to BIMB Securities who are interested to invest in Shariah Compliant listed companies.

### What are the criteria to become Marketing Representative?

- Any individual at 21 years old and above
- Must meet the Fit And Proper Criteria For Marketing Representatives requirements under SC Guidelines For Marketing Representative
- Satisfy the minimum qualification and experience requirements as set out below:
  - ✓ Possess a degree or professional qualification or diploma from an institution recognised by the government of Malaysia; and
  - ✓ Completed a two-day familiarisation programme and passed the required assessment at the end of the familiarisation programme.

### DO's and DON'Ts as a Marketing Representative

Do's
<ul style="list-style-type: none"><li>• Arranging for the customer to meet with or speak to the BIMB Securities;</li><li>• Forwarding customer's particulars to the BIMB Securities;</li><li>• Providing the customer with factual information relating to products and services offered by BIMB Securities.</li><li>• Refer clients to a licensed person if the client asks for specific recommendation or advice on a capital market product</li><li>• Inform the client of any remuneration scheme and its amount in relation to Marketing Representative activity, if requested by the client</li></ul>

Don'ts
<ul style="list-style-type: none"><li>• Must not hold himself out as a licensed person or registered person under section 76 of the CMSA.</li><li>• Not allowed to give advice or provide recommendation in relation to dealing in securities.</li><li>• Prohibited from carrying out suitability assessment of clients and providing clients with specific recommendation</li><li>• Must not take clients' orders, execute trades, handle or accept clients' monies or give transactional advice to clients</li></ul>