



**CORRUPTION RISK DUE DILIGENCE QUESTIONNAIRES WITH PARAMETERS
(FOR BUSINESS ASSOCIATES)**

Name/Company Name: _____

NRIC No/Registration No: _____

***Applicant refers to vendor, contractor, consultant, trainer, agent, broker, business partner, joint venture partner, strategic alliances, outsourcing provider, solicitor, investor, car dealer, valuer, developer, landlord, money changer, foundation and Sadaqa House change-maker.**

A	VIOLATION	YES/NO								
1	<p>Has the Applicant or any of its employees ever paid a bribe or made a facilitation payment to government or business agencies to expedite any work?</p> <p>If yes, please tick (√) where applicable and provide the details:</p> <table border="1"> <tr> <td>With justification e.g. extortion payment involving life threatening</td> <td><input type="checkbox"/> Details:</td> </tr> <tr> <td>Paid bribe/facilitation payment</td> <td><input type="checkbox"/> Details:</td> </tr> </table>	With justification e.g. extortion payment involving life threatening	<input type="checkbox"/> Details:	Paid bribe/facilitation payment	<input type="checkbox"/> Details:					
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2	<p>Has the Applicant or any of its employees ever been convicted of a criminal offence in particular involving bribery, corruption, money laundering, fraud, dishonesty or similar misconduct?</p> <p>If yes, please tick (√) where applicable and provide the details of conviction and subsequent remedial measures, if any.</p> <table border="1"> <tr> <td>Conviction is more than 5 years with remedial measures</td> <td><input type="checkbox"/> Details:</td> </tr> <tr> <td>Conviction is within the last 5 years with remedial measures</td> <td><input type="checkbox"/> Details:</td> </tr> <tr> <td>Conviction is more than 5 years without remedial measures</td> <td><input type="checkbox"/> Details:</td> </tr> <tr> <td>Conviction is within the last 5 years without remedial measures</td> <td><input type="checkbox"/> Details:</td> </tr> </table>	Conviction is more than 5 years with remedial measures	<input type="checkbox"/> Details:	Conviction is within the last 5 years with remedial measures	<input type="checkbox"/> Details:	Conviction is more than 5 years without remedial measures	<input type="checkbox"/> Details:	Conviction is within the last 5 years without remedial measures	<input type="checkbox"/> Details:	
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3	<p>Has the Applicant or any of its employees ever been investigated or faced allegations related to any offence including bribery, corruption, money laundering, fraud, dishonesty or similar misconduct?</p> <p>If yes, please tick (√) where applicable and provide details of any investigation, allegation or charge against the Applicant or any of its employees for any actual or alleged criminal act.</p> <table border="1"> <tr> <td>Investigation or allegation with no further action</td> <td><input type="checkbox"/> Details:</td> </tr> <tr> <td>Ongoing investigation or allegation</td> <td><input type="checkbox"/> Details:</td> </tr> <tr> <td>Investigation or allegation resulting in conviction</td> <td><input type="checkbox"/> Details:</td> </tr> </table>	Investigation or allegation with no further action	<input type="checkbox"/> Details:	Ongoing investigation or allegation	<input type="checkbox"/> Details:	Investigation or allegation resulting in conviction	<input type="checkbox"/> Details:			
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4	<p>Has the Applicant or any of its employees ever been suspended or debarred from doing business in any capacity as a result of bribery, fraud, corruption or other similar conducts?</p> <p>If yes, please tick (√) where applicable and provide the details:</p> <table border="1"> <tr> <td>Suspended/debarred more than 5 years with remedial measures</td> <td><input type="checkbox"/> Details:</td> </tr> <tr> <td>Suspended/debarred within the last 5 years with remedial measures</td> <td><input type="checkbox"/> Details:</td> </tr> <tr> <td>Suspended/debarred more than 5 years without remedial measures</td> <td><input type="checkbox"/> Details:</td> </tr> <tr> <td>Suspended/debarred is within the last 5 years without remedial measures</td> <td><input type="checkbox"/> Details:</td> </tr> </table>	Suspended/debarred more than 5 years with remedial measures	<input type="checkbox"/> Details:	Suspended/debarred within the last 5 years with remedial measures	<input type="checkbox"/> Details:	Suspended/debarred more than 5 years without remedial measures	<input type="checkbox"/> Details:	Suspended/debarred is within the last 5 years without remedial measures	<input type="checkbox"/> Details:	
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B	GOVERNMENT CONNECTIONS	YES/NO								
5	<p>Is the Applicant or any of its employees connected with any government officials?</p> <p>If yes, please provide the details in the table below.</p> <table border="1"> <thead> <tr> <th>Public Official Name</th> <th>Government Official Held</th> <th>Relationship to Public Official</th> </tr> </thead> <tbody> <tr> <td> </td> <td> </td> <td> </td> </tr> </tbody> </table>	Public Official Name	Government Official Held	Relationship to Public Official						
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6	Based on the answer for no 5, would the government officials have influence over or connection to your company's business?		
7	At present, is the Applicant performing any work for the Government? If yes, please provide details in the table below.		
	Name of Government Agency	Type of Work/ Value of Work	Work Tenure
8	Based on the answer for no 7, could this conflict with your duties to Bank Islam Group? Please tick (√) where applicable:		
	Yes, but no conflict		
	Yes, will conflict and expose to corruption		
9	Has the Applicant sponsored or donated to any community development projects e.g. Corporate Social Responsibility? If yes, please provide the details:		
	Name of Company		
	Name of Project		
	Type of Project	<input type="checkbox"/> CSR <input type="checkbox"/> Non-CSR	
	Amount of Sponsorship/Donation		
	Any financial interest? Please tick (√) where applicable	<input type="checkbox"/> Yes <input type="checkbox"/> No	
10	Has the Applicant ever made any contributions to any political party, politician or candidate for public office? If yes, please provide the details:		
	Name of Political Party/Politician/Candidate for Public Officer		
	Amount of Contribution		
	Please tick (√) where applicable	<input type="checkbox"/> Personal Contribution <input type="checkbox"/> Company Contribution	
C	COMPLIANCE		YES/NO
11	Is the Applicant or any of its employees familiar with the bribery offences under MACC Act? If yes, please specify MACC Act provisions.		
12	Does the Applicant has any policies and/or guidelines relating to anti-bribery and corruption in their company to reasonably prevent, detect and report bribery and corruption i.e. Anti- Bribery & Corruption Policy (ABCP), Gift Policy, Whistleblowing Policy, Conflict of Interest Policy, Code of Conduct (COC) etc? If yes, please state the policy/guideline and the implementation date.		
13	Does the Applicant have procedures in place to allow reporting of any misconduct? If yes, please provide details of reporting channel.		
14	Does the Applicant provide training of anti-bribery laws to its employees? If yes, please provide the details including the frequency.		
15	Does the Applicant have any other communications about bribery and corruption to its employees? If yes, please provide the details.		

I, _____ (Name) / on behalf of and as authorised signatory for
_____ (Company Name), hereby certifies the matters set forth in
this Questionnaire, signs and certifies as follows:

- a. To the best of my knowledge, all information set forth in this Questionnaire is accurate and complete;
- b. I acknowledge and understand that false or misleading information may result in the termination of any relationship that may be entered in the future between the company and/or me with Bank Islam Group; and
- c. I understand that Bank Islam Group reserves the right to resort to other remedies as may be appropriate in the event of such termination.

Name:	Position:
Signature:	Date: